

PTO 1390 Page 1 of 1

US Dept. of Commerce Pat. & Trademark Office

Attorney's Docket No.

23239

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 USC 371

Use Application No. (if known)  
**10/534548**

INTERNATIONAL APP. NO.

PCT/DE2003/003353

INTERNATIONAL FILING DATE

9 October 2003

PRIORITY DATE CLAIMED

11 November 2002

TITLE OF INVENTION

**METHOD FOR ENCODING ITEMS OF INFORMATION AND A DEVICE AND**

APPLICANT(S) FOR DO/EO/US

**Guido MENNICKEN et al**

Applicant herewith submits to the United States Designated/Elected Office (DO/EU/US) the following .

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 USC 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
3. ☐ This is an express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 USC 317(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 USC 371(c)(2)).
  - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau.
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Patent Office.
6. ☒ A translation of the International application into English.
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3)).
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau.
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3).
9. ☒ An oath or declaration of the inventor(s) (35 USC 371(c)(4).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)).

Items 11. to 16. below concern documents or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An Assignment for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items of information.  
Drawing (0 sheets)  
References  
PTO-1449

10/534548

PCT/DE2003/009953

PCT/PTO 03 MAY 2005  
23239

## 17. The following fees are submitted:

## Basic National Fee (37 CFR 1.492(a)(1)-(5)):

Search report has been prepared by the EPO or JP ..... \$950.00

Int'l prel. exam. fee paid to USPTO (37 CFR 1.482) ..... \$750.00

No int'l prel. exam. fee paid to USPTO (37 CFR 1.482)

but int'l search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$790.00

Neither int'l prel. exam fee (37 CFR 1.482) nor

int'l search fee (37 CFR 1.455(a)(2)) paid to USPTO ..... \$1000.00

Intl. prel. exam. fee paid to USPTO (37 CFR 1.482)

and all claims satisfied provisions of PCT Art. 33(2-4) ..... \$200.00

ENTER APPROPRIATE BASIC FEE AMOUNT

## CALCULATIONS PTO USE ONLY

\$1,000

Surcharge of \$130.00 for furnishing oath or declaration later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NO. FILED	NO. EXTRA	RATE		
Total claims	21	1	\$50	\$50	
Ind. claims	0	0	\$200	\$0	
MULTIPLE DEP. CLAIM(S) (if applicable) (see prel. amt.)			360		
TOTAL OF ABOVE CALCULATIONS				\$1,050	
Reduction of 1/4 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (37 CFR 1.2, 1.27, 1.28)				\$0	
SUBTOTAL				\$1,050	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					
TOTAL NATIONAL FEE				\$1,050	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The Assignment may be accompanied by an appropriate PTO-1595 cover sheet (37 CFR 3.28, 3.39)				\$40	
TOTAL FEES ENCLOSED				\$1,090	
				Amt to be refunded	
				Amt to be charged	

- a. ☐ A check in the amount of \$1050 to cover the above fees is enclosed
- b. ☐ Please charge my deposit account 18-2025 \$00.00 to cover the above fees. A copy of this sheet is enclosed.
- c. ☒ Please charge the amount due to the credit card identified in the attached PTO-2038.
- d. ☒ The commissioner is authorized to charge any additional fees which may be required or credit any overpayment to deposit  
account 18-2025. A copy of this sheet is enclosed
- e. ☒ A PTO-2038 in the amount of \$40 to cover recordal of the Assignment is enclosed

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive  
(37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

Send all correspondence to:

The Firm of Karl F. Ross P.C.  
5676 Riverdale Ave. Box 900  
Riverdale (Bronx), NY 10471

  
Herbert Dubno, Reg. No. 19,752

10/534548

PCT/DE/2003/005553

23239

MAY 2005

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and all claims satisfied provisions of PCT Art. 33(2-4) ..... \$200.00

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Surcharge of \$130.00 for furnishing oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

## CALCULATIONS PTO USE ONLY

FEE VALUE	
ACCOUNTABILITY	
DEPOSIT ACCOUNT NO.	
18	2025
FEE CODE	VALUE
1037	500
1040	400

\$1,000

CLAIMS	NO. FILED	NO. EXTRA	RATE	
Total claims	21	1	\$50	\$50
Ind. claims	0	0	\$200	\$0
MULTIPLE DEP. CLAIM(S) (if applicable) (see prel. amt.)			360	
TOTAL OF ABOVE CALCULATIONS				
Reduction of 1/4 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (37 CFR 1.2, 1.27, 1.28)				\$0
SUBTOTAL				\$1,050
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				
TOTAL NATIONAL FEE				\$1,050
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The Assignment may be accompanied by an appropriate PTO-1595 cover sheet (37 CFR 3.28, 3.39)				\$40
TOTAL FEES ENCLOSED				\$1,090
Credit Card Refund				\$0.00
Amt to be refunded				
An Exp.: XXXX XXXXX1006				
Amt to be charged				

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The Firm of Karl F. Ross P.C.  
5676 Riverdale Ave. Box 900  
Riverdale (Bronx), NY 10471

Herbert Duono, Reg. No. 19,752

10/534548

JC20 Rec'd PCT/PTO 03 MAY 2005

23239

State of New York       )  
                              )  
County of the Bronx     )       Ss:


TRANSLATOR'S AFFIDAVIT

I, Herbert Dubno, a citizen of the United States of America,  
residing in Bronx (Riverdale), New York, depose and state that:

I am familiar with the English and German languages;

I have read a copy of the German-language document ; and

The hereto-attached English-language text is an accurate  
translation of this German-language document.

  
Herbert Dubno

Sworn to and subscribed before me  
12 April 2005

  
Notary Public

ELISE FRIEDMAN  
NOTARY PUBLIC, State of New York  
No. 4715730  
Qualified in Bronx County  
Commission Expires May 31, 2006

23239



5-10-5 JC10 Rec'd PCT/PTO 03 MAY 2005

10/534548  
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, or as Express Mail if the number of the Express Mail mailing label is provided below, in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on MAY - 3 2005

IN THE U.S. PATENT AND TRADEMARK OFFICE

(Date of Deposit)  
EXP467340829  
Express Mail Label Number

Inventor Guido MENNICKEN et al  
Patent App. Not known (US Nat'l phase of  
PCT/DE2003/003353)  
Filed Concurrently herewith  
For METHOD FOR ENCODING ITEMS OF INFORMATION AND A  
DEVICE AND

Hon. Commissioner of Patents  
Box 1450 Alexandria, VA 22313-1450

RECORD OF TRANSMITTAL--PCT APPLICATION

<input checked="" type="checkbox"/>	PCT Transmittal	
<input checked="" type="checkbox"/>	PCT Application	
<input checked="" type="checkbox"/>	Translation	
<input type="checkbox"/>	Sheets of Drawing (0)	
<input checked="" type="checkbox"/>	PCT Declaration	
<input checked="" type="checkbox"/>	PCT Documents	
<input checked="" type="checkbox"/>	International Search Report	
<input checked="" type="checkbox"/>	Preliminary Amendment	
<input checked="" type="checkbox"/>	Assignment (with PTO-1595 and sep. PTO-2038)	
<input checked="" type="checkbox"/>	Reference(s) with PTO-1449	
<input checked="" type="checkbox"/>	PTO-2038 for Official Fees	
<input checked="" type="checkbox"/>	Basic Fee (Large Entity)	\$1000.00
<input type="checkbox"/>	Ind. claims in excess of 3	\$00.00
<input checked="" type="checkbox"/>	1 claim in excess of 20	\$50.00
Total		\$1050.00

RECEIVED  
MAY 10 2005  
OIFE/JC/MIS

Please charge any fees not covered by an enclosed PTO-2038 to account 18-2025 of the undersigned.

3 May 2005

5676 Riverdale Ave. Box 900  
Bronx, NY 10471-0900  
Tel: (718) 884-6600  
Fax: (718) 601-1099  
Customer No. 535  
rg

Respectfully submitted,  
The Firm of Karl F. Ross P.C.

Herbert Dubno, Reg. 19,752